



An easy-to-use market conduct research tool helping property and casualty insurers prepare and respond to examinations, and maintain compliance with market conduct requirements.

Market Conduct Compliance Focused

- Search Faster and Get the Detail you Need Easily
- Be Prepared for Exams with Guided Self-Audits
- Virtual Regulator
- Easy web-based access to enterprise-wide subscription service
- Nationwide Coverage

Overview

With both workers' compensation and property and casualty modules, ComplianceWare increases the effectiveness of your compliance staff as they strive to deal with the multitude of governing requirements to ensure market conduct compliance.

The time and money-saving benefits of an effective market conduct program have never been more critical to your bottom line.

Information is only as good as your ability to access it. ComplianceWare's unique Search Wizard allows you to focus only on the information you need by conducting different types of searches based on your selection of...

- State(s)
- Line(s) of business
- Issue(s)

There are several different methods for searching the database to obtain the desired information. You can search by topic within each state and line(s) of business, or search one specific topic across multiple states. The search options include Topic, State, Reference Number, Word, Market Conduct, Easy Audit and NAIC Standard. Each search type has unique characteristics that allow you to find the information quickly and easily.

ComplianceWare is linked with past state market conduct criticisms relating to the requirements contained in the cite – so you can identify the requirements most often criticized by state examiners. And our staff of former market conduct regulators provides you with valuable insight on how an examiner may apply key requirements for each of the statutes and regulations.



Market Conduct Compliance Focused.

What's more, ComplianceWare gives you advance warning of requirements that states have passed into law, along with their effective dates. This "database within a database" is searchable by state, line of business and effective date.

Insurers and third-party administrators use ComplianceWare in...

- Preparing for and responding to state examinations
- Performing self-audits
- Preparing new product launches
- Performing legal research
- Conducting personnel training

Search Faster and Get the Detail you Need Easily – Laws relating to market conduct issues are organized by state, line of business, compliance categories and details, including the complete statutory text. You drill down quickly to information you need for specific issues.

Be Prepared for Exams with Guided Self-Audits – Past state examination market conduct criticisms are linked to specific requirements in statutes, regulations and bulletins. You can quickly and easily identify areas that have been the focus of state market conduct exams – allowing you to prepare and complete market conduct exams and internal self-audits effectively and efficiently.

Virtual Regulator - The Regulator's View feature provides you with a virtual regulator to help you understand how a regulator may interpret the requirement.

Easy web-based access to enterprise-wide subscription service.

Nationwide Coverage – You have the complete information needed for compliance with multiple search capabilities for all 50 states, plus Washington D.C.

For more information, please visit

Insurance.WoltersKluwerFS.com/research/complianceware/ or call (800) 481.1522.

To learn more please call
us at 800-481-1522 or visit
us at [www.Insurance.Wolters
KluwerFS.com/research/
complianceware](http://www.Insurance.WoltersKluwerFS.com/research/complianceware)



Wolters Kluwer
Financial Services